1. Introduction

1.1. In accordance with its obligations under Condition 29 of its Licence to Participate in the Transmission of Electricity (the “NIE Licence”), Northern Ireland Electricity Limited (“NIE”) has prepared and has in force a Market Registration Code (“MRC”) that covers all material procedural aspects relating to participation in the market registration arrangements in Northern Ireland. Please note that unless specified, any defined term is as defined under the MRC.

1.2. NIE is planning to implement the Enduring Solution from 21 May 2012 to replace the current legacy applications which support retail electricity market operations in Northern Ireland. The Enduring Solution aims to:

1.2.1. support the full business separation of NIE and Power NI;

1.2.2. provide unlimited switching capacity in the market;

1.2.3. introduce further harmonisation of market arrangements between Northern Ireland and the Republic of Ireland; and

1.2.4. provide a platform both for global settlement and a revised arrangement for DUOS billing.

1.3. In accordance with its obligations under paragraph 8 of Condition 29 of the NIE Licence, NIE believes that it is necessary to review the MRC to ensure that, where relevant, it fully reflects the operations of the Enduring Solution.

1.4. NIE wishes to update the existing Retail Market Procedures for certification, re-certification and other related activities (previously MP NI 001 - 006 and now renumbered MP NI 501 – 505). In addition, and in the light of experience gained since the certification procedures were first implemented, NIE proposes the introduction of additional new Retail Market Procedures in this area to clarify the obligations on Suppliers wishing to participate in the market registration arrangements (to be numbered MP NI 506 – 509). Although NIE is not required under its Licence to consult on the Retail Market Procedures, it has done so on this occasion to seek views. Please note that future consultations on the Retail Market Procedures will not be undertaken unless NIE is required to do so by any changes to its Licence.

1.5. The existing operational Retail Market Procedures (previously MP NI 101 to MP NI 115 and now renumbered MP NI 1 to MP NI 41), and Market Message Implementation Guides, have been updated to reflect the operations of the Enduring Solution and have been approved in line with existing change control procedures in the current MRC. These documents are not the subject of this consultation.

2. The Consultation Process

2.1. Appendix A of this Consultation Paper describes at a high level the principal changes to the MRC. Appendix B of this Consultation Paper describes at a high level the principal changes to
the Retail Market Procedures MP NI 501 to MP NI 505, and also provides a short summary of the proposed new Retail Market Procedures MP NI 506 to 509.

2.2. Appendix C lists the documents published alongside this consultation document (“Attached Documentation”). This includes both clean, and red-lined tracked-change versions of each of the MRC and the Retail Market Procedures MP NI 501 to MP NI 505. NIE seeks comments from consultees on the proposed changes to these documents, and also on the new Retail Market Procedures 506 – 509, also listed at Appendix C.

2.3. Following receipt of comments from consultees in line with this Consultation Paper NIE will, in accordance with paragraph 9 of Condition 29 of the Licence, send to the Northern Ireland Authority for Utility Regulation (the “Utility Regulator”):

   2.3.1. a report on the outcome of its review;
   2.3.2. the proposed revisions to the Attached Documentation which NIE (having regard to the outcome of such review) reasonably thinks appropriate for the introduction of the Enduring Solution in line with the objectives of the MRC referred to in paragraph 3 of Condition 29 of the NIE Licence; and
   2.3.3. any written representations or objections from consultees (including any proposals by such persons for revisions to the Attached Documentation not accepted by NIE in the course of the review) arising during the consultation process and subsequently maintained.

2.4. The revisions to the Attached Documentation proposed by NIE and sent to the Utility Regulator will require the Utility Regulator’s approval and will, if approved, come into force on such date (or dates) as will be notified by NIE, in accordance with the Utility Regulator’s approval.

2.5. NIE would be pleased to receive any consultee’s comments on, or any suggestions consultees may have in relation to, the proposed modifications to the Attached Documentation, by 16 March 2012, in order that we can prepare our report to the Utility Regulator.

2.6. Your formal responses should be in writing and addressed to:

   Denis Kelly
   Northern Ireland Electricity Limited
   Fortwilliam House
   Edgewater Office Park
   Edgewater Road
   Belfast
   BT3 9QJ

2.7. Alternatively, responses may be sent by email to: denis.kelly@nie.co.uk

Northern Ireland Electricity Limited
17 February 2012
APPENDIX A

SUMMARY OF PROPOSED CHANGES TO THE MARKET REGISTRATION CODE

General changes throughout document
A1. As the MRC Commencement Date has now passed, all references to activities to be completed on or before this date have been deleted.

A2. The Enduring Solution replaces the Interim Solution, and a range of legacy applications. All references to the Interim Solution, legacy applications, and any operations determined by these systems (eg the interim arrangements for allocation of MRPNs which were operating in the legacy environment) have been deleted.

A3. All operational Retail Market Procedures or Guides have been renumbered to align with those in the Republic of Ireland (MP NI 1 – 41). This introduced a conflict with the original numbering for the certification Retail Market Procedures, which are themselves now numbered MP NI 501 – MP NI 505. In addition, four new certification Retail Market Procedures have been introduced (MP NI 506 – 509). All cross references within the MRC have been revised to reflect this renumbering.

A4. The Business Specifications have been replaced by the Market Message Implementation Guides, which are part of the Harmonised Baseline.

A5. The MRC was last changed in 2009 to reflect the multiple allocation of MPRNs to a single AGU site under the Interim Solution. The Enduring Solution requires that AGU sites are treated as any other supply point, and each allocated a unique MRPN. The majority of provisions for AGUs within the Interim Solution (and the 2009 version of the MRC) are no longer required. Any deleted references to AGUs are accounted for as such.

A6. The term “Customer”, and a number of other terms, have been defined and applied throughout the document.

A7. The term “Authority” has been replaced by “Utility Regulator” throughout in line with current practice.

Part A: Introductory Matters
A8. Paragraph 1.4: all changes to paragraph and sub-paragraph numbering in cross-references to Schedule 6 of the Electricity Order correct an error in the current version of the MRC.

A9. The previously unused Section 4 has been deleted. This renumbers the remainder of the document. All paragraph numbers in the remainder of this consultation document relate to the new paragraph numbers shown in the revised MRC (except where the old paragraph has been deleted, in which case a clear reference is made in the text of this document).

A10. There are no other changes of note to this Part A.
Part B: Certification

A11. Paragraph 4.2: reference to the Electricity Market Messaging Application (EMMA) is introduced reflecting the requirement for all Suppliers to use this technology as a basis for market messaging in future.

A12. Paragraph 4.5.1: access to the Market Website is controlled via a nominated IP address, user ID and password. NIE will only provide these on Supplier certification, and remove them on cessation of certification for any reason.

A13. (The former) paragraph 5.6: transfer of business to a new legal entity is only one of a number of potential changes to supplier status. All such changes are covered under MP NI 502 Recertification and this paragraph has been removed to avoid potential confusion and duplication.

A14. Paragraphs 8.4 and 8.5: wording revised to clarify that these paragraphs refer specifically to the treatment of Dispensations.

Part C: Registration
Sections 10 – 18: Operational Retail Market Procedures

A15. Paragraphs 11.2, 12.1 and 12.2: updated to reflect the revised terminology for meter types introduced in the new Retail Market Procedures.

A16. Paragraph 12.1.1 and 12.2.1: all provisions for cancellation of a registration application by a Supplier are now included in a new paragraph 12.3.

A17. Paragraph 12.1.3: introduces the new Retail Market Procedure MP NI 5 covering the allocation of a Default Supplier in cases where a customer has not otherwise selected an alternative Supplier (and thereby replacing the former paragraph 13.2).

A18. (The former) paragraph 13.7: deleted as this duplicates provisions within the DUoS agreement for the categorisation of customers.

A19. Paragraph 12.6: reflects the (proposed) introduction of the agreed arrangements for the transfer of retained credit for keypad customers.

A20. Paragraph 12.7: references provisions for change of SSAC and / or Supplier Unit for a Meter Point which have now been included in MP NI 20.

A21. Paragraph 13.1 (all bullets): all changes in this paragraph align the scope of Meter Point Data Processing in line with the new Retail Market Procedures.

A22. Paragraphs 13.2.1 and 13.2.2: reflect the change of terminology introduced in the new Retail Market Procedures from ‘Half Hourly’ to ‘Interval’ metering (and similarly, ‘Non-Half Hourly’ to ‘Non-Interval’) to provide flexibility for the future introduction of other metering time intervals if required.

A23. Paragraphs 13.2.3 and 13.2.4: reflect the introduction of new Retail Market Procedures in respect of Unmetered Data Processing, and to provide for non-metered adjustments to consumption.
A24. Paragraph 14.1: clarifies the aggregation of data by sites, the distribution of that data as a data file, and its provision also to Generators, in line with the new Retail Market Procedures.

A25. Paragraph 15.1 (all bullets): all changes introduced to align with the new Retail Market Procedure, and NIE’s role in liaising with Suppliers on any changes to a customer connection.

A26. Section 16: introduced to summarise the obligations of both NIE and Suppliers in respect of any changes to Customer data, in line with new Retail Market Procedures.

A27. Paragraph 17.1: the original Fieldwork Market Procedure (103) has now been subdivided to reflect the different types of fieldwork set out in this paragraph. They are listed here in one place to facilitate cross-referencing of NIE and Supplier obligations set out in the subsequent paragraphs 17.2 to 17.5.

A28. Paragraph 17.4: in addition to the change noted above, this paragraph ties in Market Guide 41, setting out NIE’s fees and charges for Fieldwork.

A29. Paragraph 18.1: clarifies previously undefined terms.

Part C: Registration
Sections 19 - 21: Market Messaging and Use of EMMA

A30. Paragraph 19.1: use of EMMA is now mandatory for the exchange of Market Messages between NIE and Suppliers. (The former) paragraph 19.2 is removed to reflect this.

A31. Paragraph 19.5: in the event of total or partial failure of EMMA, this paragraph is modified to allow NIE to vary a Supplier’s requirement to use EMMA, and introduce alternative arrangements either on a market-wide, or Supplier specific, basis.

A32. Paragraph 20.1: the replacement EMMA is based on proprietary Tibco software. All Suppliers are required to sign a licence agreement for the use of Tibco.

A33. Paragraph 20.3 and 20.4: revised also to reflect the Tibco licence terms on warranty and liability for breach of that warranty.

A34. Paragraph 20.5: revised to reflect those IPR in EMMA which rest with Tibco.

A35. Paragraph 20.7: revised to reflect the need for any amendments to licence terms to be wholly within NIE’s control.

A36. Paragraph 21.2: revised to include a Supplier’s obligation to have signed a Tibco Licence Agreement for the provision of EMMA.

Part D: Code Modifications

A37. Part D of the MRC has been extensively updated to reflect:

  a) The proposed merger of the Supplier Interface Group (SIG) into the Central Design Authority Board to provide a single forum for discussion of market-related issues and approval of changes to the market design baseline in NI;

  b) The introduction of the Harmonised Baseline, setting out the design criteria for those elements of the electricity market harmonised between NI and RoI;
c) The introduction of the Harmonisation Retail Design Service and its procedures for the management of changes to the Harmonised Baseline. Specifically, the term ‘Code Change Proposal’ has been replaced by ‘Discussion Request’ throughout both Part D and Schedule 5 to align HRDS change control procedures. The concept of Issues has also been dropped – Suppliers may still raise Queries as per current procedures, but all other matters requiring discussion should be raised as Discussion Requests;


A38. Paragraph 22.1: extends the scope of the CDA Board to include activities originally undertaken by SIG. Also ties the operations of the CDA Board into those of the Harmonisation Working Group, as appropriate.


A40. Paragraph 22.6: provides for the attendance of Observers at CDA Board meetings, in line with current SIG practice.

A41. Paragraph 22.7: CDA Board chairmanship will continue to rest with NIE. SIG chairmanship currently rests with NIAUR, and this paragraph provides for their continued participation in industry discussions as an Observer at CDA Board meetings.

A42. Paragraphs 22.10 to 22.12: extends various Board Member obligations to their alternates, and Observers, as appropriate.

A43. Paragraph 23.2.8: references the existence of the Harmonisation Retail Design Service (HRDS) as a joint body comprising CDA and RMDS.

A44. Section 24: removes the use of Issues, and establishes the concept of Discussion Requests as a replacement for Code Change Proposals, both as noted at paragraph A37 above.

A45. Paragraph 24.1: specifically cross-references the MRC Change Procedures at Schedule 5. All paragraphs subsequently removed in this section have been transferred to Schedule 5, to provide a single, end-to-end description of the Change Procedures in one place.

A46. Paragraph 24.3: extends existing CDA Board obligations in respect of changes to delivery times or charges for fieldwork to HRDS.

Part E: General

A47. Paragraph 26.3: amended to reflect the now mandatory use of EMMA.

A48. (The former) paragraph 29.4.8: NIE no longer processes data in respect of this activity.

A49. Paragraph 31.1: introduces a requirement on any Supplier to inform NIE in the event that its Supply Licence is revoked, addressing a gap in previous versions of the MRC.

Schedule 1: Definitions and Interpretations

A50. All changes in this section reflect one or more of the following:
a) Introduction or removal of, or changes to definitions to support changes in the main body of MRC text noted above;

b) A desire to hold a single definition of operational terms, and thus the introduction of cross-references to MP NI 39, which is the Glossary document for the Retail Market Procedures;

c) Similarly, a small number of cross-references to definitions in the Grid, Trading and Settlement, or Distribution Codes;

d) Removal of the definitions of the (now-superseded) Market Procedures and Guides. The replacement Retail Market Procedures and Market Message Implementation Guides are now listed in a single place in Schedule 4.

A51. Consultees should note that this review of the MRC and Retail Market Procedures for certification, etc, has identified the need for a number of additions to MP NI 39, the Glossary Document for the Retail Market Procedures. The required changes to MP NI 39 will be processed through the standard HRDS Change Control Procedures (ie via a DR / MCR) on completion of this Consultation.

Schedules 2 and 3

A52. There are no changes to these Schedules.

Schedule 4: List of Code Procedures

A53. This section lists the Retail Market Procedures and Market Message Implementation Guides which together form part of the Market Registration Code.

A54. The list of other documentation not formally part of the Market Registration Code has been deleted, since it is not definitive and also cannot be maintained outwith a formal MRC consultation process.

Schedule 5: MRC Change Procedures

A55. This schedule has been extensively modified to reflect the introduction of HRDS procedures for the management of the Harmonised Baseline, and the need to tie these into established CDA procedures for Change Management set out in the MRC.

A56. As noted in paragraph A37, the term ‘Discussion Request’ has been introduced throughout Section 5 to replace ‘Code Change Proposal’, and thus align with HRDS Change Control Procedures. Issues will also cease to be used.

A57. Paragraph 1.4: Suppliers are now required to submit Discussion Requests to HRDS in the first instance.

A58. Paragraph 2.5: Suppliers should continue to submit Queries direct to CDA in the first instance. However, CDA will advise the originator of any Query that it should be resubmitted to HRDS if, in their opinion, it relates to a matter concerning harmonisation and is therefore of wider relevance to the jurisdictions of both NI and RoI.

A59. (The former) Section 3: entire section deleted in line with the proposed dropping of Issues.

A60. Paragraph 3.1: All requests to change any code procedure should now be submitted in the first instance to HRDS. This includes changes to both operational and certification-related
Retail Market Procedures, and to requests even where these apply only to the jurisdiction of NI. This allows the allocation of a unique number to all Discussion Requests within a single, common numbering system across both NI and RoI. It also allows for HRDS to identify Discussion Requests which may impact on both jurisdictions, even where this is not immediately obvious from the initial submission.

A61. Paragraph 3.2: HRDS will consider the categorisation of all Discussion Requests. Those which relate only to NI will be passed back to CDA for further processing as set out in paragraphs 4.3 et seq.

A62. The CDA procedures set out in paragraphs 3.3 et seq are substantially unchanged from those currently in place. A minor change is introduced at paragraph 3.10(b), and further at paragraph 3.12, making provision for Discussion Requests which merit further consideration to be reclassified as Change Requests in line with HRDS Change Control procedures.

A63. Paragraph 3.18: the contents of a Change Report have been extended to include the details of the Change Request giving rise to the report, and the estimated costs of implementation, both in line with current practice.

A64. Paragraphs 3.19 and 3.20 have been directly transferred from Section 25 of the main body of the MRC (see paragraph A45 above) to provide a single, end-to-end description of the MRC Change Procedure in one place.

**Schedule 6: Central Design Authority Board Procedures**

A65. The procedures for operation of the CDA Board remain substantially unchanged from those currently in place. The few changes in this Schedule all relate to the introduction of provisions for Observers set out in Section 23 of the main body of the MRC.
APPENDIX B
SUMMARY OF PROPOSED CHANGES TO MP NI 501 – 505
INTRODUCTION OF MP NI 506 – 509

MP NI 501: Market Entry Process

B1. This Retail Market Procedure remains substantially unchanged. It applies both to new entrants to the retail electricity market in NI, and to existing Suppliers who wish to enter an additional market sector(s), in either case the ‘Applicant’.

B2. In line with recent business changes, NIE T&D has been renamed NIE throughout all Retail Market Procedures 501 - 509.

B3. The use of the term ‘days’ has been clarified to ‘Business Days’ in all Retail Market Procedures 501 – 509.

B4. The diagrams throughout this document have been updated to reflect:
   a) The introduction of provision for delivery of training by NIE to Applicants;
   b) The requirement for an Applicant to complete a Readiness Declaration in advance of any stage of Certification
   c) The requirement to submit a project plan at the outset of the application.

B5. Section 1.1: the opening sentence has been removed on the grounds of relevance and clarity.

B6. Section 2.1.2: certification categories have been updated to reflect those used in the operational Retail Market Procedures.

B7. Section 2.1.3: the market entry product set has been extended to include the NIE Training Application Form, and the BDA Checklist (both as per current practice), and also the new Retail Market Procedures MP NI 506 – 509.

B8. Section 2.1.4: minor rewording to allow the MEPC to agree the requirements for resources, the dates on which they will be required, and the need to agree any subsequent changes to these.

B9. Section 2.2.2: an initial audit of the BDA submission is undertaken to check completeness. Thereafter, the Applicant must submit a Readiness Statement to confirm he has met all the pre-conditions for a Business Design Audit.

B10. Section 2.2.3: introduces the requirement for the Applicant to submit the BDA Checklist to the MEPCT ten days in advance of the start of the Business Design Audit.

B11. Section 2.3: Market Entry Testing has been renamed Certification Testing to remove any confusion with Market Testing, itself a stage of Certification Testing.

B12. Section 2.3.1: reference to use of EMMA for market messaging introduced for clarity.

B13. Section 2.3.3: confirms the requirement for an Applicant to submit a Readiness Statement in advance of the commencement of Ability Testing, to confirm he has met all the pre-conditions.
for this stage. Sentence commencing ‘AT is not necessary’ has been deleted as the use of EMMA for market messaging is now mandatory.

B14. Section 2.3.4: In line with current operations, the MEPCT may or may not choose to witness Ability Testing at the Applicant’s site. In the second paragraph, the word Applicant replaces MEPCT in correction of an error.

B15. Section 2.3.6: Applicants must now use EMMA, but have never been required to use GEMMA. Applicants must also submit a Readiness Statement to confirm they have met all the preconditions for entry into Market Testing. Finally, MEPCT may or may not choose to witness Market Testing at the Applicant’s site, and if so, will agree dates with the Applicant for this.

B16. Section 3.1 step 4, Section 3.2 step 2, Section 3.3.2 step 9 and Section 3.4 step 2: the durations for each of these activities have been changed to reflect market experience since the certification Retail Market Procedure was first introduced.

**MP NI 502: Recertification**

B17. This Retail Market Procedure remains substantially unchanged. It covers procedures for Supplier review and reassurance in response to market changes.

B18. Section 1.1: certification categories have been updated to reflect those used in the operational Retail Market Procedures.

B19. Section 2.2: the initial Supplier certification procedure (MP NI 501) certifies a Supplier’s market-facing business processes and information systems. A requirement for Suppliers to recertify in the event of major changes in either or both of these is introduced in this section. Major change is defined as any event which is likely to impact on the Supplier’s ability to execute his market operations (and thus its obligations to its customers, other Suppliers and NIE) in line with the agreed design baseline.

B20. Section 2.6: experience since 2007 has shown that recertification timescales vary widely between Suppliers, and that there is no clear-cut industry average. A change is introduced to allow the Supplier to agree with the MEPCT a likely timescale commensurate with the circumstances leading to a need for recertification.

B21. Section 3.1: this change is introduced to correct an inconsistency and ensure the relevant time period for an Annual Reassurance Statement is stated to be one year, from November to November.

B22. Section 4.2 steps 2 and 3, Section 4.3 step 4: the durations for each of these activities have been changed to reflect market experience since the recertification Retail Market Procedure was first introduced.

**MP NI 503: Removal of Certification**

B23. This Retail Market Procedure remains substantially unchanged. It covers procedures for removal of Supplier certification under a range of circumstances.

B24. Section 1.1: certification categories have been updated to reflect those used in the operational Retail Market Procedures.
B25. Section 2.2 and Section 4 step 1: introduces two further events in response to which Supplier certification may be removed: a failure by a Supplier to notify MEPCT of material changes which would otherwise have resulted in a need for recertification under MP NI 502, and the voluntary removal of certification by Suppliers who have not, and do not intend to, register any metering points.

B26. Section 2.3.2: NIE will no longer send market messages on behalf of a Supplier who has lost certification. Providing they are able to continue to use EMMA, Suppliers who have lost certification may be able to operate under a Dispensation allowing them to continue to serve existing Customers, but neither to take new Customers nor object to the loss of any existing Customers.

B27. Section 2.3.3, Section 3.1 and Section 4 step 2: confirms that the MEPCT will also notify SEMO in the event that a Supplier loses certification.

**MP NI 504: Dispensations**

B28. This Retail Market Procedure remains substantially unchanged. It covers procedures for the grant of a Dispensation to a Supplier from compliance with one or more elements of the Certification or Recertification processes.

B29. Section 1.1: certification categories have been updated to reflect those used in the operational Retail Market Procedures.

B30. Section 2.2: includes provision for dispensation from the NIE Training Programme.

B31. Section 2.4.2 point (c): Suppliers can only continue to operate in the market under a Dispensation if they are able to continue the use of EMMA for market messaging. A Dispensation cannot be awarded to Suppliers who are unable to use EMMA.

B32. Section 4 step 5: the timescales for this step will depend on the volume of testing required, and will be agreed on a case by case basis by the MEPCT.

**MP NI 505: Disputes and Appeals**

B33. This Retail Market Procedure remains substantially unchanged. It covers procedures for the raising and resolution of disputes and appeals by Suppliers in the course of certification-related activities.

B34. Section 2.1.2: clarification of the start point for any dispute as the basis for further timings.

B35. Section 2.1.4: timescales for attempted reconciliation have been made open-ended in the light of market experience to date.

**MP NI 506: Ability Testing Procedure**

B36. This is a new Retail Market Procedure, describing the framework for Ability Testing, and providing guidance for a Supplier to prepare and execute this step in certification/recertification.

B37. This Retail Market Procedure is substantially an uplift of the material already published as the document ‘NI Retail Electricity Market Recertification – Ability Testing Approach’ during
Supplier recertification for the Enduring Solution Go Live in May 2012. It is now being republished as a Retail Market Procedure in order formally to tie it into the Market Registration Code.

B38. The purpose of the Retail Market Procedure is to:

a) Define the overall approach to be adopted for Ability Testing;
b) Define the entry and exit criteria for Ability Testing;
c) Define the scope of Ability Testing;
d) Identify the responsibilities of each party;
e) Describe how Ability Testing will be managed and controlled by the TCC; and
f) Outline the management controls and procedures required.

B39. The document has been prepared reflecting MEPCT’s and Suppliers’ experience of Ability Testing both in certification for the 2007 Interim Solution, and more recently in preparation for the introduction of the Enduring Solution. It covers the following topics:

a) The scope, principles and organisation of Ability Testing;
b) The entry and exit criteria for Ability Testing;
c) The requirements for test data and test environments;
d) The testing process;
e) The deliverables from Ability Testing, including schedules, scripts, test results, incident reports and the Exit Report;
f) Management and control of Ability Testing; and
g) The role of NIAUR in Ability Testing.

MP NI 507: Market Testing Procedure

B40. This is a new Retail Market Procedure, describing the framework for Market Testing, and providing guidance for a Supplier to prepare and execute this step in certification / recertification.

B41. This Retail Market Procedure is substantially an uplift of the material already published as the document ‘NI Retail Electricity Market Recertification – Market Testing Approach’ during Supplier recertification for the Enduring Solution Go Live in May 2012. It is now being republished as a Retail Market Procedure in order formally to tie it into the Market Registration Code.

B42. The purpose of the Retail Market Procedure is to:

a) Define the overall approach to be adopted for Market Testing;
b) Define the entry and exit criteria for Market Testing;
c) Define the scope and objectives of Market Testing;
d) Identify Supplier responsibilities;
e) Describe how testing will be managed and controlled by the TCC; and
f) Outline the management controls and procedures required for testing.

B43. The document has been prepared reflecting MEPCT’s and Suppliers’ experience of Market Testing both in certification for the 2007 Interim Solution, and more recently in preparation for the introduction of the Enduring Solution. It covers the following topics:

a) The scope, principles and organisation of Market Testing;

b) The entry and exit criteria for Market Testing;

c) The organisation of test scripts into groups;

d) The requirements for test data and test environments;

e) The testing process;

f) The deliverables from Market Testing, including schedules, scripts, test results, incident reports and the Exit Report;

g) Management and control of Market Testing;

h) The role of NIAUR in Market Testing; and

i) Preparation of the test schedule.

MP NI 508: Certification Ability Tests, and MP NI 510 Certification Market Tests

B44. These are new Retail Market Procedures, setting out the list of Ability and Market Test scripts which are required to be executed by a Supplier seeking certification or recertification under the Market Registration Code.

B45. The document lists the scripts which will be executed in each of Ability and Market Testing for the following market sectors:

a) Interval Commercial;

b) Non Interval Commercial;

c) Non Interval Residential Credit Metered;

d) Non Interval Residential Keypad; and

e) Unmetered Supply.
APPENDIX C

DOCUMENTS FOR CONSULTATION

A Market Registration Code

The following files are published on NIE’s market website:

- 20120220 MRC Proposed ES Changes.pdf – clean copy of the MRC including proposed changes
- 20120220 MRC Proposed ES Changes rev marking.pdf – revision marked copy of the MRC highlighting proposed changes to be introduced against the current version published 8 October 2009 (on the introduction of AGUs)

B Retail Market Procedures MP NI 501 – MP NI 509

The following files are published on NIE’s market website:

- 20120220 MP NI 501.pdf to 20120220 MP NI 505.pdf inclusive – clean copies of the Retail Market Procedures 501 to 505, including proposed changes
- 20120220 MP NI 506.pdf to 20120220 MP NI 509.pdf inclusive – clean copies of the new Retail Market Procedures 506 to 509
- 20120220 MP NI 501 rev marking.pdf to 20120220 MP NI 505 rev marking.pdf – revision-marked copies of each document highlighting proposed changes to be introduced against the versions of these documents currently in force.

Please note that no revision marked versions of MP NI 506 – 509 are published, as these are new documents and have no earlier version against which to compare.